

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
**In re** : Chapter 11 Case No.  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : 08-13555 (SCC)  
**Debtors.** : (Jointly Administered)  
-----x Ref. Docket Nos. 42702, 43087,  
43092, 43094, 43095, 43107, 43113,  
43119, 43124, 43132, 43133, 43142,  
43233-43240, 43244, 43246, 43269,  
43271, 43273, 43274, 43276-43281

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On March 3, 2014, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
4<sup>th</sup> day of March, 2014

/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

## EXHIBIT A

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re  
  
LEHMAN BROTHERS HOLDINGS INC., et al.  
  
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CITIGROUP GLOBAL MARKETS INC.  
TRANSFEROR: MONARCH CAPITAL MASTER PARTNERS II-A LP  
ATTN: BRIAN BLESSING  
1615 BRETT ROAD  
NEW CASTLE DE 19720

Please note that your claim # 55304-11 in the above referenced case and in the amount of \$323,782.80 allowed at \$323,556.12 has been transferred (unless previously expunged by court order)

VARDE FUND VI-A LP, THE  
TRANSFEROR: CITIGROUP GLOBAL MARKETS INC.  
ATTN: EDWINA PJ STEFFER  
8500 NORMANDALE LAKE BLVD, STE 1500  
MINNEAPOLIS MN 55437

No action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 43113 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 03/03/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

**EXHIBIT B**

Name	Address	TRANSFEROR:	ATTN:	REASON
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	DRAWBRIDGE OSO SECURITIES LLC C/O NEW FINANCE ALDEN SPV ATTN: CHRIS SCHOLFIELD 885 THIRD AVE 34TH FL NEW YORK NY 10022	TRANSFEROR: DRAWBRIDGE SPECIAL OPPORTUNITIES FUND LP C/O NEW FINANCE ALDEN SPV ATTN: CHRIS SCHOLFIELD 885 THIRD AVE 34TH FL NEW YORK NY 10022	ALDEN: NEW FINANCE ALDEN SPV ATTN: CHRIS SCHOLFIELD 885 THIRD AVE 34TH FL NEW YORK NY 10022	
MASTER FUND, L.P.		TRANSFEROR: FCOF UB SECURITIES LLC C/O NEW FINANCE ALDEN SPV ATTN: CHRIS SCHOLFIELD 885 THIRD AVE 34TH FL NEW YORK NY 10022		
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.		TRANSFEROR: FTS SIP L.P. C/O NEW FINANCE ALDEN SPV ATTN: CHRIS SCHOLFIELD 885 THIRD AVE 34TH FL NEW YORK NY 10022		
MASTER FUND, L.P.		TRANSFEROR: MERRILL, LYNCH, PIERCE, FENNER & SMITH INC. C/O CHAPMAN AND CUTLER LLP 1270 AVENUE OF THE AMERICAS, 30TH FLOOR NEW YORK NY 10020-1708		
ALLITER HOLDINGS LLC		PIAZZALE GIULIO DOUDET, 31 ROMA 00163 ITALY		
BANCA FIDEIURAM S.P.A.	ATTN: GIANLUCA SERIA PIAZZA SALIMBENI, 3 SIENA 53100 ITALY	TRANSFEROR: BANCA FIDEIURAM S.P.A. ATTN: VALERIA VIGANO VIA ROSELLINI 16 MILANO 20124 ITALY		
BANCA MONTE DEI PASCHI DI SIENA SPA	TRANSFEROR: CREDITO EMILIANO S.P.A. ATTN: VALERIA VIGANO VIA ROSELLINI 16 MILANO 20124 ITALY			
BANCA MONTE DEI PASCHI DI SIENA SPA	TRANSFEROR: SANTANDER PRIVATE BANKING SPA ATTN: VALERIA VIGANO VIA ROSELLINI 16 MILANO 20124 ITALY			
BANCA MONTE DEI PASCHI DI SIENA SPA	VIA SAN CARLO 8/20 MODENA 41100 ITALY			
BANCA POPOLARE DELL'EMILIA ROMAGNA SOC. COOP.		TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA S.P.A. ATTN: STEFANIA MAZZONELLO VIA E. ROVAGNATI, 1 DESTO (MB) 20832 ITALY		
BANCO DI DESIO E DELLA BRIANZA S.P.A.	VIA ROVAGNATI, 1 DESTO (MB) 20832 ITALY	TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA S.P.A. ATTN: STEFANIA MAZZONELLO VIA E. ROVAGNATI, 1 DESTO (MB) 20832 ITALY		
BANCO DI DESIO E DELLA BRIANZA SPA	FRANK LOVAGNATI, 1 DESTO (MB) 20832 ITALY	TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA S.P.A. ATTN: STEFANIA MAZZONELLO VIA E. ROVAGNATI, 1 DESTO (MB) 20832 ITALY		
BANK J. SAFRA SARASIN LTD.	ATTN: FRANCESCO PATRICK GRIBI, LEGAL COUNSEL, GROUP LEGAL ELISABETHENSTRASSE 62 P.O. BOX BASEL CH-4002 SWITZERLAND	TRANSFEROR: NEUE AARGAUER BANK AG BAR & KARRER AG ATTN: PETER HSU BRANDSCHENKESTRASSE 90 ZURICH CH-8027 SWITZERLAND		
BANK J. SAFRA SARASIN LTD.	ATTN: FRANCESCO PATRICK GRIBI, LEGAL COUNSEL, GROUP LEGAL ELISABETHENSTRASSE 62 P.O. BOX BASEL CH-4002 SWITZERLAND	TRANSFEROR: NEUE AARGAUER BANK AG BAR & KARRER AG ATTN: PETER HSU BRANDSCHENKESTRASSE 90 ZURICH CH-8027 SWITZERLAND		
BROKWEIL MANAGEMENT INC.	ATTN: FRANCESCO PATRICK GRIBI, LEGAL COUNSEL, GROUP LEGAL ELISABETHENSTRASSE 62 P.O. BOX BASEL CH-4002 SWITZERLAND	TRANSFEROR: NEUE AARGAUER BANK AG BAR & KARRER AG ATTN: PETER HSU BRANDSCHENKESTRASSE 90 ZURICH CH-8027 SWITZERLAND		
BSOF MASTER FUND L.P.	REPUBLIC OF PANAMA	TRANSFEROR: ILLIQUIDX LLP ATTN: MARITA IRINE DIAE DE SAAVEDRA 53RD E. ST., URBANIZACION MARBELLA MMG TOWER, 16TH FLOOR PANAMA		
BSOF MASTER FUND L.P.	ARTHUR LIAO BLACKSTONE STRATEGIC OPPORTUNITY ASSOCIATES LLC 345 PARK AVENUE, 28TH FLOOR NEW YORK, NY 10154-0004	TRANSFEROR: ILLIQUIDX LLP ATTN: MARITA IRINE DIAE DE SAAVEDRA 53RD E. ST., URBANIZACION MARBELLA MMG TOWER, 16TH FLOOR PANAMA		
BSOF MASTER FUND L.P.	1140 AVENUE OF THE AMERICAS, 12TH FLOOR NEW YORK NY 10036	TRANSFEROR: GOLDMAN SACHS & CO. C/O KNIGHTHEAD CAPITAL MANAGEMENT, LLC ATTN: LAURA L. TORRADO, ESQ.		
CITIGROUP GLOBAL MARKETS INC.	ATTN: FRANK LOVAGNATI, 1 DESTO (MB) 20832 ITALY	TRANSFEROR: MONARCH CAPITAL PARTNERS II LP ATTN: BRIAN BLESSING 1615 BRETT ROAD NEW CASTLE DE 19720		
CITIGROUP GLOBAL MARKETS INC.	ATTN: FRANK LOVAGNATI, 1 DESTO (MB) 20832 ITALY	TRANSFEROR: MONARCH CAPITAL PARTNERS II-A LP ATTN: BRIAN BLESSING 1615 BRETT ROAD NEW CASTLE DE 19720		
CITIGROUP GLOBAL MARKETS INC.	ATTN: FRANK LOVAGNATI, 1 DESTO (MB) 20832 ITALY	TRANSFEROR: MONARCH CAPITAL PARTNERS LP. ATTN: BRIAN BLESSING 1615 BRETT ROAD NEW CASTLE DE 19720		
CITIGROUP GLOBAL MARKETS INC.	ATTN: FRANK LOVAGNATI, 1 DESTO (MB) 20832 ITALY	TRANSFEROR: MONARCH DEBT RECOVERY MASTER FUND LTD. ATTN: BRIAN BLESSING 1615 BRETT ROAD NEW CASTLE DE 19720		
CITIGROUP GLOBAL MARKETS INC.	ATTN: FRANK LOVAGNATI, 1 DESTO (MB) 20832 ITALY	TRANSFEROR: MONARCH OPPORTUNITIES MASTER FUND LTD. ATTN: BRIAN BLESSING 1615 BRETT ROAD NEW CASTLE DE 19720		
CITIGROUP GLOBAL MARKETS INC.	ATTN: FRANK LOVAGNATI, 1 DESTO (MB) 20832 ITALY	TRANSFEROR: P MONARCH RECOVERY LTD. ATTN: BRIAN BLESSING 1615 BRETT ROAD NEW CASTLE DE 19720		
CREDIT SUISSE	ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010	TRANSFEROR: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019		
CREDIT SUISSE	CRAYATH, SWAIN & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019	TRANSFEROR: RICHARD LEVIN, ESQ. CRAYATH, SWAIN & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019		
CREDIT SUISSE	CRAYATH, SWAIN & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019	TRANSFEROR: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019		
CREDIT SUISSE AG	STEPHANIE NY TUMBOLO CRAYATH SWAIN & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019	TRANSFEROR: RICHARD LEVIN, ESQ. CRAYATH, SWAIN & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019		
CREDIT SUISSE AG	PARADEPLATZ 8 ZURICH CH-8001 SWITZERLAND	TRANSFEROR: RICHARD LEVIN, ESQ. CRAYATH, SWAIN & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019		
CREDIT SUISSE AG	ATTN: MR. LUCA MARIANI & EFISIO BERTRAND VIA EMILIA SAN PIETRO, N. 4 REGGIO EMILIA 42121 ITALY	TRANSFEROR: RICHARD LEVIN, ESQ. CRAYATH, SWAIN & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019		
CREDIT SUISSE AG	ATTN: EFISIO BERTRAND VIA EMILIA SAN PIETRO, N. 4 REGGIO EMILIA 42121 ITALY	TRANSFEROR: RICHARD LEVIN, ESQ. CRAYATH, SWAIN & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019		
CREDIT SUISSE AG	NEW YORK NY 10105	TRANSFEROR: FCDB LBU 2009 LLC C/O FORTRESS INVESTMENT GROUP LLC ATTN: JASON O'KEEFE 1345 AVENUE OF THE AMERICAS, 23RD FLOOR NEW YORK NY 10105		
CREDIT SUISSE AG	TRANSFEROR: FCDB LBU 2009 LLC C/O FORTRESS INVESTMENT GROUP LLC ATTN: JASON O'KEEFE 1345 AVENUE OF THE AMERICAS, 23RD FLOOR NEW YORK NY 10105	TRANSFEROR: FCDB LBU 2009 LLC C/O FORTRESS INVESTMENT GROUP LLC ATTN: JASON O'KEEFE 1345 AVENUE OF THE AMERICAS, 23RD FLOOR NEW YORK NY 10105		
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GOULDMAN SACHS & CO.	TRANSFEROR: APPALOOSA INVESTMENT L.P. 1 ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302	TRANSFEROR: APPALOOSA INVESTMENT L.P. 1 ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302		
ILLIQUIDX LLP	TRANSFEROR: BANCA SELIA HOLDING SPA ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM	TRANSFEROR: BANCA SELIA HOLDING SPA ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM		
ILLIQUIDX LLP	TRANSFEROR: CREDITO EMILIANO S.P.A. ATTN: MS. GALINA ALABATCHKA 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM	TRANSFEROR: CREDITO EMILIANO S.P.A. ATTN: MS. GALINA ALABATCHKA 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM		
KARTIN EVELIN ENGELS	TRANSFEROR: CREDIT SUISSE AG KORNBLUMENWEG 11 HUHTH 50354 GERMANY	TRANSFEROR: CREDIT SUISSE AG KORNBLUMENWEG 11 HUHTH 50354 GERMANY		
Liquidation Opportunities Master Fund LP	TRANSFEROR: FCOF UB SECURITIES LLC ATTN: ITHRAN OLIVACCIE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022	TRANSFEROR: FCOF UB SECURITIES LLC ATTN: ITHRAN OLIVACCIE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022		

### Address

Name LUZERNER KANTONALBANK

RECHTSDIENST & COMPLIANCE ATTN: PETER FELDER & JORG GUBLER PILATUSSTRASSE 12  
CUSTODIAL ADDRESS: BANK J. SAFRA SARASIN LTD.

Address

Name UNIONE DI BANCHE ITALIANE SCPA	TRANSFEROR: BANCO DI DESIO E DELLA BRIANZA S.P.A. ATTN: LUCA BONZANINI - AREA AFFARI LEGALI E CONTENZIOSO PIAZZA VENETO, 8 BERGAMO, 24121 ITALY
UNIONE DI BANCHE ITALIANE SCPA	TRANSFEROR: BANCO DI DESIO E DELLA BRIANZA SPA ATTN: LUCA BONZANINI - AREA AFFARI LEGALI E CONTENZIOSO PIAZZA VENETO, 8 BERGAMO, 24121 ITALY
UNITPOL BANCA S.P.A.	TRANSFEROR: BANCA POPOLARE DELL'EMILIA ROMAGNA SOC. COOP. ATTN: FUNZIONE LEGALE - LUCA FIORINA PIAZZA DELLA COSTITUZIONE, 2 BOLOGNA 40128 ITALY
VARDE CREDIT PARTNERS MASTER, L.P.	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 MINNEAPOLIS MN 55437
VARDE FUND VI-A LP, THE	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD, STE 1500 MINNEAPOLIS MN 55437
VARDE FUND X (MASTER) LP, THE	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD, STE 1500 MINNEAPOLIS MN 55437
VARDE FUND XI (MASTER), L.P., THE	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 MINNEAPOLIS MN 55437
VARDE INVESTMENT PARTNERS (OFFSHORE)	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BOULEVARD, SUITE 1500 MINNEAPOLIS MN 55437
MASTER, L.P.	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD, STE 1500 MINNEAPOLIS MN 55437
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: CITIGROUP GLOBAL MARKETS INC. ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD, STE 1500 MINNEAPOLIS MN 55437

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